

# Bank Compliance School

May 22-25, 2023

Bismarck, ND & VIRTUAL



Questions: Call the SDBA at 800.726.7322 or email Halley Lee at hlee@sdba.com.

## ABOUT THE PROGRAM

The 2023 Bank Compliance School is offered by Compliance Alliance in partnership with the South Dakota Bankers Association, North Dakota Bankers Association, Montana Bankers Association and Wyoming Bankers Association.

## THE SEMINAR WILL COVER

### Module 1: Consumer Lending and Real Estate Lending Compliance - May 22 & 23

- Reg B
- FCRA, FACTA
- Reg O
- CRA
- TRID
- RESPA
- Reg Z
- HMDA
- Consumer Lending - Non-Real Estate
- Lending to Servicemembers
- Fair Lending
- FCRA and FACT Act
- Mortgage Serving Rules
- Flood Rules
- Mortgage Loan Originator Rules and the SAFE Act

### Module 2: Advance Compliance and Deposit Compliance and BSA - May 24 & 25

- 2023 Hot Topics
- E-Sign
- UDAAP
- Reg D & DD
- Reg E - Fraud
- Reg CC
- Risk Assessment & Mitigation
- Compliance Management Systems
- Complaint Management
- Privacy - Reg P and RFPA
- BSA/ AML Overview
- BSA Today

## WHO SHOULD ATTEND?

Compliance officers, internal audit staff and any employee who assists with compliance management.

## SEMINAR PRESENTERS

Compliance Alliance, an SDBA endorsed business partner, is the only banking industry compliance resource owned, operated, and managed by State Bankers Associations. Compliance Alliance experts provide members an all-inclusive set of bank compliance tools and services that help bankers stay up to date with requirements.



Julia Gutierrez



Theo Kelly



Elizabeth Madlem

## SCHEDULE

8:00 - 8:30 a.m. CDT Registration and Breakfast  
8:30 a.m. - 4:30 p.m. CDT Program

Breakfast and lunch will be provided on-site each day.

## LOCATION

Radisson Hotel Phone: 701.255.6000  
605 E Broadway Ave Room rate: \$84  
Bismarck, ND 58501 Online reservations: [NDBA Rooms](#)

## REGISTRATION FEES

Member (In-Person & Virtual)	Before May 2	After May 2
Entire School	\$1100	\$1150
Module 1 (May 22 & 23)	\$600	\$650
Module 2 (May 24 & 25)	\$600	\$650

Register online at [www.sdba.com](http://www.sdba.com) or return form and payment to SDBA, PO Box 1081, Pierre, SD 57501 or fax to 605.224.7835.

Institution \_\_\_\_\_ Phone Number \_\_\_\_\_

Mailing Address \_\_\_\_\_

Name	City	Email	Select	Select
			<input type="checkbox"/> Entire School <input type="checkbox"/> Module 1 <input type="checkbox"/> Module 2	<input type="checkbox"/> In-Person <input type="checkbox"/> Virtual
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Total fees due: \$ \_\_\_\_\_ ☐ Check enclosed ☐ Please send me an invoice ☐ Please bill credit card (SDBA will call)

**Cancellation Policy:** 100% refund if canceled on or before May 15; 75% refund if canceled May 16-May 19; no refund if canceled May 19 or later.  
Substitutions allowed at any time.